Department of Electrical Engineering University of Arkansas



## ELEG5693 Wireless Communications Ch. 3 Modulation

Dr. Jingxian Wu wuj@uark.edu

# OUTLINE

- Modulation
- Linear Modulation I
- Complex Signal Representation
- Pulse Shaping
- Linear Modulation II
- Non-linear Modulation
- FDMA



## **MODULATION: DEFINITION**

#### • What is modulation?

- The process of modifying a carrier signal (usually a sinusoid) in accordance with an information-bearing signal (modulating signal), such that the modified carrier signal (modulated signal) can be used to convey information.
- Carrier signal:

$$c(t) = A_c \sin(2\pi f_c t + \theta)$$

- Three parameters: amplitude, frequency, phase
- One or all of them can be modified to carry information.
  - E.g. the amplitude is modified to carry information m(t)

$$s(t) = m(t)A_c\sin(2\pi f_c t + \theta)$$

$$m(t) \longrightarrow Modulator \longrightarrow s(t)$$



## **MODULATION: DEFINITION**

- What is modulation? (cont'd)
  - Modulating signal m(t)
    - Original electrical information-bearing signal
    - E.g. electrical waveform representing voice, ASCII, digital video, etc.
    - Usually at low frequency
    - Also called baseband signal
  - Carrier signal c(t)
    - High frequency sinusoid to carry the information.
  - Modulated signal s(t)
    - Carrier signal modified by modulating signal
    - At the output of the modulator.
    - Also called bandpass signal, or RF signal

$$m(t) \longrightarrow \underbrace{\text{Modulator}}_{c(t)} \hspace{1cm} s(t)$$



## **MODULATION: WHY?**

### • Why modulation?

- Shift the frequency of the message signal to the pre-allocated channel.
  - For example:

- speech signal:  $300 \sim 3100 \text{ Hz}$  m(t)

- Shift the signal to the allocated frequency range: 900MHz c(t)
- Transfer the message into a form more suitable for wireless transmission.
  - Limited bandwidth
  - Make better use of the limited spectrum
  - High frequency signals are more suitable for wireless transmission.
- Enables multiple access
  - Signals from different users can be shifted to different frequencies.
  - Modulation allows the simultaneous transmission of multiple users.



## **MODULATION: TERMS**

#### Demodulation

- Recover the original message signal m(t) from the modulated signal s(t).

#### • Modulator

- Device used to perform modulation
- Demodulator
  - Device used to perform demodulation.



r(t): modulated signal impaired by fading and noise.



## **MODULATION: CLASSIFICATIONS**

- Linear modulation v.s. non-linear modulation
- Analog modulation v.s. Digital modulation
- Amplitude modulation v.s. Angle modulation



### **MODULATION: LINEAR V.S. NON-LINEAR**

• Principle of superposition

$$\xrightarrow{m_i(t)} \text{Modulator} \xrightarrow{S_i(t)}$$

- If input is  $m_1(t) + m_2(t)$ , then output is  $S_1(t) + S_2(t)$
- If input is  $a \cdot m_1(t)$ , then output is  $a \cdot s_1(t)$
- If the input-output of the modulator satisfies principle of superposition, then the modulator is called linear modulator.

- E.g. 
$$s(t) = m(t)A_c \sin(2\pi f_c t + \theta)$$

• If the principle of superposition is not satisfied, the modulator is non-linear modulator.



## **MODULATION: ANALOG V.S. DIGITAL**

#### Analog modulation

- -m(t) is an analog signal
  - m(t) is a continuous function of time t.
  - *m*(*t*) can take infinite number of values
- Analog modulation is also called continuous-wave (CW) modulation.
- AM radio, FM radio, first generation cell phone system

### Digital modulation

- m(t) is a digital signal
  - Take finite number of values
  - E.g. {-1, 1}, {-2, -1, 1, 2}, ...
- $-2^{nd}$  generation cell phone system
- Digital modulation systems have become more and more popular.



## **MODULATION: AMPLITUDE V.S. ANGLE**

#### Amplitude modulation

- The amplitude of the carrier,  $A_c$ , is modified by the message signal m(t).
- Angle modulation
  - The angle of the carrier is modified by the message signal m(t).

$$\psi(t) = 2\pi f_c t + \theta$$

- Frequency modulation: frequency is modified by m(t).
- Phase modulation: phase is modified by m(t)



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#### • Amplitude modulation (AM)

- The term, AM, is usually used when m(t) is analog



• Spectrum of AM

$$- m(t) \rightarrow M(f)$$

$$- A_c \cos(2\pi f_c t) \rightarrow \frac{A_c}{2} \left[ \delta(f - f_c) + \delta(f + f_c) \right]$$

$$- \qquad s(t) \twoheadrightarrow S(f) = ?$$



• Spectrum of AM



- Center frequency shifted from 0 to  $f_c$
- The bandwidth is doubled from *W* to 2*W*



#### • Bandwidth

- Baseband bandwidth (bandwidth of baseband signal): from 0 to W
- Passband bandwidth (bandwidth of modulated signal): from Fc-W to Fc+W.
  - Passband bandwidth is twice of baseband bandwidth.
- How do we define bandwidth?
  - There are many different definitions of bandwidth
  - B': half power bandwidth (3dB bandwidth).
  - B'': null-to-null bandwidth
  - B''': absolute bandwidth
  - FCC definition: bandwidth contains 99% of signal power



#### power spectrum



• Binary phase shift keying (BPSK) → Digital modulation

$$s(t) = \begin{cases} A_c \cos(2\pi f_c t) & \text{for binary 1} \\ A_c \cos(2\pi f_c t + \pi) & \text{for binary 0} \end{cases}$$

- Or alternatively

$$s(t) = \begin{cases} A_c \cos(2\pi f_c t) & \text{for binary 1} \\ -A_c \cos(2\pi f_c t) & \text{for binary 0} \end{cases}$$

- Also called binary amplitude shift keying (BASK)









*Ts*: symbol period. (The time duration to carry one symbol)usually inverse proportional to signal BW



#### • M-ary amplitude shift keying (MASK)

- The information can take  $M = 2^n$  values

$$m(t) = \sum_{k=1}^{\infty} m_k p(t - kT_s)$$
$$m_k = \{s_1, s_2, \dots, s_M\} \qquad p(t) = \begin{cases} 1 & 0 \le t \le T\\ 0 & o.w. \end{cases}$$

• E.g. 4-ary amplitude shift keying (4ASK)

$$m_k = \{-3, -1, 1, 3\}$$







• Each symbol can take M values

 $\rightarrow$  Each symbol can represent  $n = \log_2 M$  bits of information.

- E.g.
  - 4ASK  $\rightarrow$  M = 4  $\rightarrow$  2 bits/sym
  - 8ASK  $\rightarrow$  M = 8  $\rightarrow$  3 bits/sym
  - 16ASK  $\rightarrow$  M = 16  $\rightarrow$  4 bits/sym
- Symbol rate (baud) Rs :
  - # of modulation symbols/second
  - Generally, signal bandwidth is proportional to symbol rate!
- Bit rate Rb:
  - Bits/second
- $Rb = Rs \times (\# \text{ of bits/sym})$



### • M-ary modulation

- At the same symbol rate, (or fixed bandwidth)
  - Larger  $M \rightarrow$  more bits/symbol  $\rightarrow$  larger bit rate
- M-ary modulation is good for band-limited system
  - In wireless systems, spectrum is precious
  - M-ary modulation is widely used in wireless systems!
  - Typical values used in wireless system: M = 2, 4, 8, 16
- Why don't we use a very large M?
  - If  $M \rightarrow$  infinity, then analog signal
  - At the same SNR
    - Larger M → signals are more closed to each other → it's harder to distinguish between all signals at receiver due to noise → probability of error becomes larger!



- Quadrature phase shift keying (QPSK)
  - Combination of two BPSK streams
  - Quadrature: orthogonal (two carriers that are 90 degree apart)



#### • Demodulator

- How could these two signals not interfere with each other?



$$s(t)\cos(2\pi f_{c}t) = A_{c}m_{1}(t)\cos^{2}(2\pi f_{c}t) + A_{c}m_{2}(t)\sin(2\pi f_{c}t)\cos(2\pi f_{c}t)$$
$$= \frac{A_{c}}{2}m_{1}(t) + \frac{A_{c}}{2}m_{1}(t)\cos(4\pi f_{c}t) + \frac{A_{c}}{2}m_{2}(t)\sin(4\pi f_{c}t)$$
After low pass filter:  $\frac{A_{c}}{2}m_{1}(t)$ 



- At each symbol period, two bits of information are transmitted
  - 2 bits/symbol
  - Inphase signal  $s_I(t) = A_c m_1(t) \cos(2\pi f_c t)$  has the same bandwidth as BPSK
  - Quadrature signal  $s_Q(t) = A_c m_1(t) \sin(2\pi f_c t)$  has the same bandwidth as BPSK
  - The sum  $s(t) = s_I(t) + s_Q(t)$  has the same bandwidth as BPSK
    - Sum in time domain → sum in frequency domain → bandwidth unchanged.
  - The same bandwidth as BPSK, but twice the bit rate of BPSK!
  - No interference between quadrature and inphase
    - Inphase has the same error performance as BPSK
    - Quadrature has the same error performance as BPSK
    - QPSK has the same error performance of BPSK!

One of the rare occasions that increase bit rate without sacrificing error performance!



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### **COMPLEX REPRESENTATION**

• Band-pass signal

$$s(t) = s_I(t)\cos(2\pi f_c t) - s_Q(t)\sin(2\pi f_c t)$$

Complex base-band signal (also called the complex envelope of the signal)

$$\widetilde{s}(t) = s_I(t) + js_Q(t)$$

Relationship between complex baseband and band-pass

$$s(t) = \operatorname{Re}\left\{\widetilde{s}(t)\exp(j2\pi f_c t)\right\}$$



## **COMPLEX REPRESENTATION**

- Modulation can be decomposed into two steps:
  - 1. complex baseband modulation, 2. frequency upconversion
    - The complex representation completely preserves the information of the modulating signal except the carrier frequency
  - It's suffice for us to examine baseband modulation only!

$$s(t) = \operatorname{Re}\left\{\widetilde{s}(t)\exp(j2\pi f_c t)\right\}$$



**Modulation** 



#### **COMPLEX REPRESENTATION: BASEBAND MODULATION**

**BPSK**   $s_{I}(t) \in \{-1,1\}$   $s_{Q}(t) = 0$   $\widetilde{s}(t) \in \{-1,1\}$ (M = 2)

• QPSK  $S_{I}(t) \in \{-1,1\}$   $S_{Q}(t) \in \{-1,1\}$  $\widetilde{S}(t) \in \{-1-j,-1+j,1-j,1+j\}$  (M = 4)



**Modulation** 



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#### **COMPLEX REPRESENTATION: CONSTELLATION**

#### Modulation Constellation

- The collection of all modulation symbols in their complex representation.
- $E_s$ : the energy of one symbol





### **COMPLEX REPRESENTATION**

#### • Baseband modulation examples





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### **BPSK:**

### **QPSK:**



#### **COMPLEX REPRESENTATION: SYMBOL ENERGY**

#### • Symbol energy Es

- If modulation symbol is

$$\widetilde{s}(t) = \sqrt{E_s} \exp[j\theta(t)]$$

- The energy of one symbol is  $E_s$ .
  - Proof:

#### • Bit energy *Eb*

- The energy of one bit
- # of bits per symbol:  $\log_2 M$

$$E_b = E_s / \log_2 M$$



### **COMPLEX REPRESENTATION**

• Signals pass through channel with flat fading and AWGN

$$\widetilde{y}(t) = \widetilde{h}(t) \times \widetilde{s}(t) + \widetilde{n}(t)$$

$$\tilde{h}(t) = h_I(t) + jh_Q(t)$$
 : time-varying flat fading

$$\widetilde{n}(t) = n_I(t) + jn_Q(t)$$
 :AWGN

Both the inphase component and quadrature component are going to be distorted.



## **COMPLEX REPRESENTATION: SNR**

#### • Signal to noise ratio (SNR)

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- The ratio between signal power and noise power
- E.g. same level of noise, signal power becomes smaller



**QPSK:**  $\widetilde{y}(t) = \widetilde{s}(t) + \widetilde{n}(t)$ 



## **COMPLEX REPRESENTATION: SNR**

#### Baseband demodulation

- Find the modulation symbol that has the smallest Euclidean distance with the received signal.

- E.g. QPSK: 
$$r = x + n$$

$$\frac{|0.3+j0.5-\exp(j\pi/4)|^2=0.2086}{|0.3+j0.5-\exp(j3\pi/4)|^2=1.0572} \xrightarrow{0.5} \mathbf{Re}$$

$$|0.3+j0.5-\exp(j5\pi/4)|^2=2.4714$$



## **COMPLEX REPRESENTATION: SNR**

#### • SNR

- The ratio between signal power and noise power







## **COMPLEX: BANDWIDTH EFFICIENCY**

#### • Bandwidth efficiency

- How many bits can be accommodated in unit bandwidth (bps/Hz)



- Measures the ability of the modulation technique to accommodate data in a limited bandwidth
  - Larger bandwidth efficiency → more data can be transferred in limited bandwidth
- Generally, the bandwidth is proportional to symbol rate

 $B \propto R_s$ 

- For M-ary modulation, fixed bandwidth  $B \rightarrow$  fixed symbol rate  $R_s$ 
  - Larger M  $\rightarrow$  larger  $R_b = R_s \log_2 M \rightarrow$  larger bandwidth efficiency



## **COMPLEX: ENERGY EFFICIENCY**

#### • Energy efficiency

- At given noise level, how much energy is required to achieve a certain bit error rate.
- Measured as the value of Eb/N0 required for certain bit error rate (BER).
  - Eb: energy per bit. N0: noise power spectral density.



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**BER v.s. Eb/N0 is the most important measure of digital communication systems!** 

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#### • Why pulse shaping?

- In previous discussions, the message m(t) is represented as a series rectangular pulses.





#### • Why pulse shaping? (Cont'd)

- Baseband signal has unlimited bandwidth.
- Spectrum of pass-band signal is shifted from baseband signal.
- Thus, pass-band signal has unlimited bandwidth.
- In wireless channel, only limited bandwidth resource is available!
- We want to choose a non-rectangular pulse shape that is limited in bandwidth!







- Design the overall response of Tx filter and Rx filter,  $p(t) = p_T(t) \otimes p_R(t)$ such that p(0) = 1, and  $p(nT_s) = 1$   $n \neq 0$
- Avoid one symbol interferes with other symbols (Intersymbol interference)





• Raised cosine (RC) pulse





 $\alpha$ : Roll-off factor

#### • Root raised cosine (RRC) pulse

 The frequency domain response of RRC filter is the square root of the frequency response of RC filter

$$P_{RRC}(f) = \sqrt{P_{RC}(f)}$$

- RRC filter has the same bandwidth as RC filter
- The overall response is RC filter
  - Satisfy Nyquist criterion

$$\xrightarrow{x(n)} P_{RRC}(f) \Longrightarrow Channel \Longrightarrow P_{RRC}(f) \xrightarrow{\hat{x}(n)}$$

$$P_{overall}(f) = \sqrt{P_{RC}(f)} \times \sqrt{P_{RC}(f)} = P_{RC}(f)$$



• Root Raised Cosine (RRC)

$$p(t) = 4\alpha \frac{\cos[(1+\alpha)\pi tR_s] + \sin[(1-\alpha)\pi tR_s](4\alpha tR_s)^{-1}}{\pi\sqrt{T_s}[1-16\alpha^2 t^2 R_s^2]}$$

#### • RRC filter is used at both Tx and Rx

- Overall response is Raised Cosine
- Signal bandwidth is  $(1+\alpha)R_s$ 
  - Symbol rate:  $R_s = 1/T_s$
  - Signal bandwidth is proportional to symbol rate



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# LINEAR MODULATION II: 16-QAM

- 16 Quadrature amplitude modulation (16QAM)
  - Inphase: 4 amplitude shift keying
  - Quadrature: 4 amplitude shift keying

 $s(t) = s_I(t)\cos(2\pi f_c t) - s_Q(t)\sin(2\pi f_c t)$ 

 $\widetilde{s}(t) = s_I(t) + j \cdot s_Q(t)$ 

 $s_I(t) \in \{-3, -1, 1, 3\}$ 

- 16-QAM symbols
  - Total  $4 \ge 4 = 16$  possible combinations.
  - $M = 16 \rightarrow \log_2 M = 4$  bits/sym
- Gray mapping

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- Two adjacent symbols differ only in 1 bit
- The most common error is that the receiver decide in favor of the neighbor of the correct symbol.
  - With Gray mapping, only 1 bit error.

 $s_Q(t) \in \{-3, -1, 1, 3\}$ 



## LINEAR MODULATION II: OQPSK

- Offset quadrature phase shift keying (OQPSK)
  - Motivation:
    - QPSK (  $\pi/4$  ,  $3\pi/4$  ,  $5\pi/4$  ,  $7\pi/4$  )
    - <u>00 10 01 11</u>  $\rightarrow \pi/4$  ,  $7\pi/4$  ,  $3\pi/4$  ,  $5\pi/4$
    - Rapid phase change (e.g. 7π/4 → 3π/4)
       could result in amplitude fluctuation → carries
       information in amplitude → high requirement in amplifier
       → increase error in wireless communication systems.
    - We want to avoid phase change of  $\pi$ 
      - Avoid two bits (inphase, quadrature) change at the same time !



- OQPSK: Delay the quadrature component by half symbol period such that the inphase bit and quadrature bit don't change at the same time.



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### LINEAR MODULATOIN II: OQPSK



- OQPSK
  - Delay the quadrature component by half symbol period
    - It's guaranteed at any moment, only one bit is changing
    - The phase shift of  $\pi$  is completely avoided!

$$\widetilde{s}(t) = s_I(t) + j \cdot s_Q(t - T_s/2)$$

- Disadvantage: phase change every Ts/2  $\rightarrow$  larger bandwidth





## LINEAR MODULATION II: MPSK

#### • Multi-ary Phase Shift Keying (MPSK)

- Phase modulation: use phase to carry information.

$$\widetilde{s}(t) = \sqrt{E_s} \exp\left(-j2\pi \frac{m(t)}{M} + \theta_0\right) \qquad m(t) \in \{0, 1, \cdots, M-1\}$$

• **M** = 4: **QPSK.**  $\theta_0 = \frac{\pi}{4}$   $m(t) \in \{0,1,2,3\}$  $\widetilde{s}(t) = \left\{\sqrt{E_s} \exp\left(-j\frac{\pi}{4}\right), \sqrt{E_s} \exp\left(-j\frac{3\pi}{4}\right), \sqrt{E_s} \exp\left(-j\frac{5\pi}{4}\right), \sqrt{E_s} \exp\left(-j\frac{7\pi}{4}\right), \right\}$ 





## LINEAR MODULATION II: MPSK

#### • MPSK demodulation

- Find the modulation symbol that has the smallest Euclidean distance with the received signal.





## LINEAR MODULATION II: MPSK

- Tradeoff between spectral efficiency and energy efficiency
  - Spectral efficiency: data rate that is accommodated in unit bandwidth (bps/Hz) = data rate/bandwidth
    - Given fixed bandwidth  $\rightarrow$  fixed symbol rate
    - Large M → large # bits/sym → large data rate → large spectral efficiency
  - Energy efficiency: at given noise level, how much signal power is required to achieve a certain bit error rate.
    - Measured as the value of Eb/N0 required for certain bit error rate (BER).
    - Given fixed bandwidth  $\rightarrow$  fixed symbol rate
    - Large M → constellation symbols are more "dense" → more sensitive to noise → low energy efficiency

**Increase modulation level M → Increase spectral efficiency** 

**Increase modulation level M 
Decrease energy efficiency** 



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#### NON-LINEAR MODULATION: FREQUENCY MODULATION

#### • Frequency modulation (FM)

- The term, FM, is usually used when m(t) is analog
  - E.g. FM radio
- Use frequency to carry information

$$f(t) = f_c + k_f m(t)$$

- Relationship between phase and frequency
  - Fixed frequency

$$\psi(t) = 2\pi f_c t + \theta$$

• Variable frequency  $f(t) = \frac{1}{2\pi} \frac{d\psi(t)}{dt} \qquad \qquad \psi(t) = 2\pi \int_0^t f(t) dt$ 

– FM

$$\psi(t) = 2\pi f_c t + k_f \int_0^t m(t) dt$$



#### **NON-LINEAR MODULATION: FREQUENCY MODULATION**

• **FM** 





#### NON-LINEAR MODULATION: FREQUENCY MODULATION

#### • FM bandwidth

- The spectrum of FM is much more complicated than the spectrum of AM
- Pass-band Bandwidth of FM signal can be approximated by Carson's rule.

 $B_T \approx 2(\beta + 1)B_s$ 

- $\beta$  :modulation index.
- $B_s$ :Bandwidth of baseband signal
- E.g.
  - AMPS,  $\beta = 3, B_s = 4kHz$
  - Bandwidth: 32 KHz



#### **NON-LINEAR MODULATION: BFSK**

- Binary frequency shift keying (BFSK)
  - Constant envelope





#### **NON-LINEAR MODULATION: MSK**

#### • Minimum shift keying (MSK)

- A special case of CP BFSK

$$s(t) = \begin{cases} A_c \sin \left[ 2\pi \left( f_c - \frac{R_s}{4} \right) t \right], & 0\\ A_c \sin \left[ 2\pi \left( f_c + \frac{R_s}{4} \right) t \right], & 1 \end{cases}$$

- CP BFSK with 
$$\Delta f = \frac{R_s}{4}$$

- Advantages:
  - bandwidth much smaller than BPSK/QPSK
  - Constant envelope
  - Very popular modulation scheme for mobile radio
- Usually used with Gaussian pulse shaping filter
  - GMSK (used by GSM)



#### **NON-LINEAR MODULATION: PRACTICAL ISSUE**

#### • Power amplifier non-linearity

- Power amplifier: amplify signal power before transmission
  - To meet the SNR requirement at receiver.
- Amplifier categories: Class A, Class B, Class AB, Class C, Class D
  - Class A: linear amplifier
    - Signals amplitude at the operational range is amplified linearly.
    - The information in amplitude is preserved.
    - Should be used for amplitude modulation.
    - Requires more transmission power  $\rightarrow$  short battery life.
  - Class C: non-linear amplifier
    - Different amplitude range are amplified by different factors
    - The amplitude of the signals is distorted.
    - CANNOT be used for amplitude modulated systems. CAN be used for frequency modulated systems.
    - Requires less transmission power  $\rightarrow$  longer battery life
  - From A to B to C to D, linearity becomes worse.



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### FDMA

#### • Frequency division multiple access (FDMA)

Available spectrum is divided into a set of frequency bands, and each frequency band is assigned to a user

#### • FDMA is not FDD

- FDD: frequency division duplex
- The downlink and uplink of one user is using different frequencies.

#### • Example: AMPS

- FDMA
- Analog voice: FM
- Digital control: BFSK (one frequency for '0', one frequency for '1').



### FDMA: ACI

#### • Adjacent Channel Interference (ACI)

- Interference from adjacent channels.
- For some modulations (FM, FSK), the modulated signal has unlimited bandwidth.
  - The signal in one channel will leak into adjacent channels.
  - To reduce ACI, introduce some guard bands between adjacent channels.
- To improve overall bandwidth efficiency, we want to have as many channel as possible in a limited spectrum.
  - Tradeoff between bandwidth efficiency and power efficiency.

