

Department of Electrical Engineering  
University of Arkansas



# **ELEG5663 Communication Theory**

## **Ch. 4 Bandpass Modulation and Demodulation**

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# OUTLINE

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- **Introduction**
- **Bandpass Modulation**
- **Coherent Detection**
- **Noncoherent Detection**
- **Complex Envelope**

# INTRODUCTION

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- **Bandpass modulation**

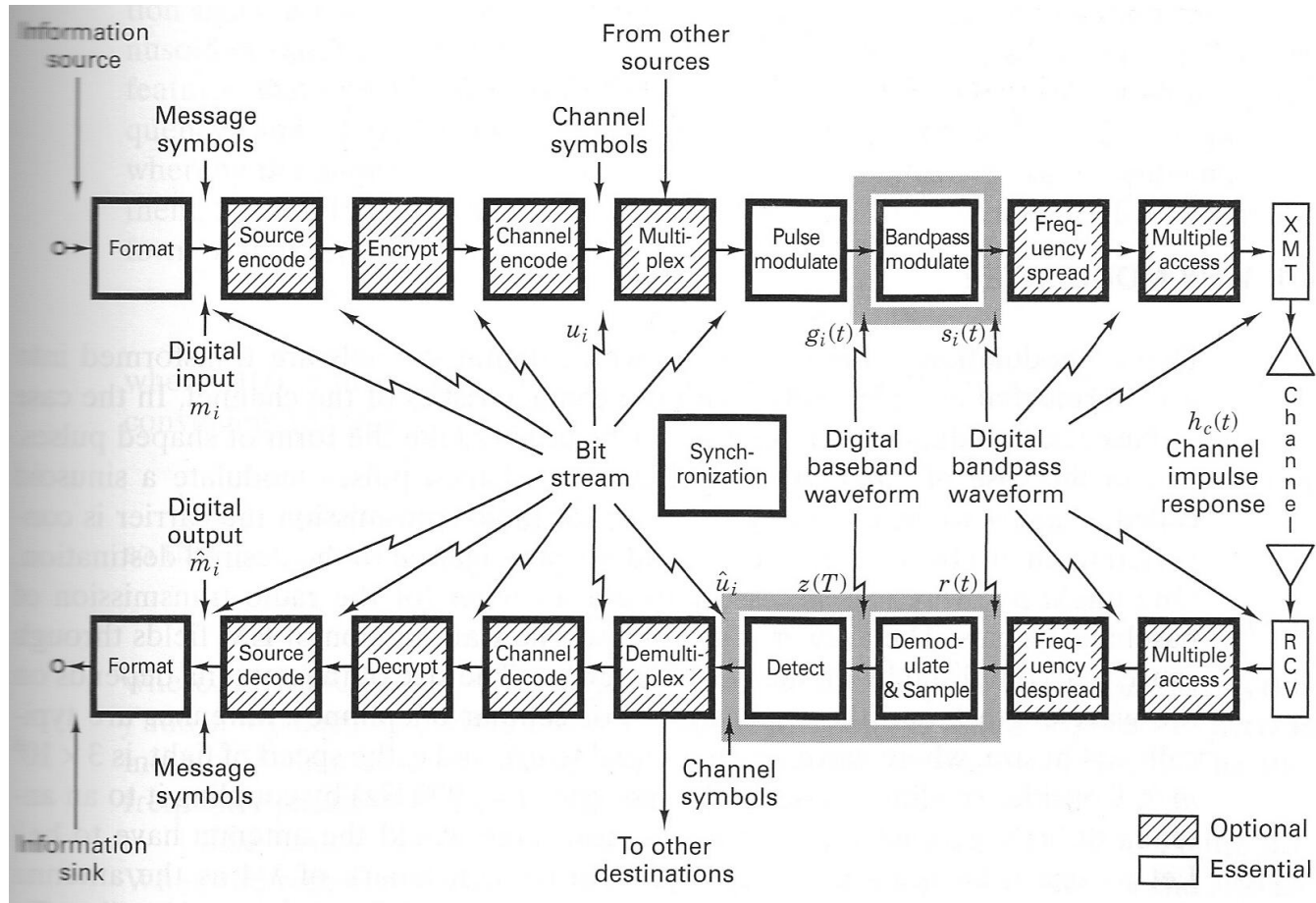
- Recall: baseband modulation
  - Mapping digital symbols onto baseband waveforms (pulse shapes) that are compatible with channel.
- Bandpass modulation
  - The baseband pulse shapes are translated to a higher frequency by using a **carrier wave** (a high frequency sinusoid)

- **Why bandpass modulate?**

- To transmit a signal, antennas are usually  $\frac{1}{4}$  of wavelength  $\lambda$ 
  - $\lambda = c / f$  : higher frequency  $\rightarrow$  smaller wavelength  $\rightarrow$  smaller antenna.
    - E.g. 3KHz baseband signal,  $\lambda =$
    - 1GHz bandpass signal,  $\lambda =$
- Translate the signal to a pre-allocated channel
  - E.g. frequency division multiple access (FDMA)

# INTRODUCTION

- Bandpass modulation and demodulation



# OUTLINE

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- Introduction
- **Bandpass Modulation**
- Coherent Detection
- Noncoherent Detection
- Complex Envelope

# BANDPASS MODULATION

- **Bandpass modulation**

- The amplitude, frequency, or phase of a radio frequency (RF) carrier, or a combination of them, is varied in accordance with the information to be transmitted.

$$s(t) = A(t) \cos[2\pi f_c t + \phi(t)]$$

- Through bandpass modulation, a baseband signal is shifted to a higher frequency.

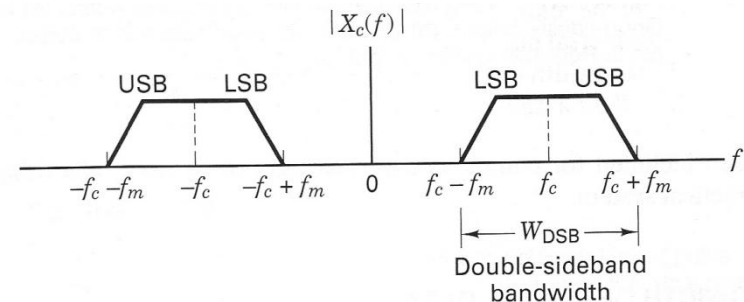
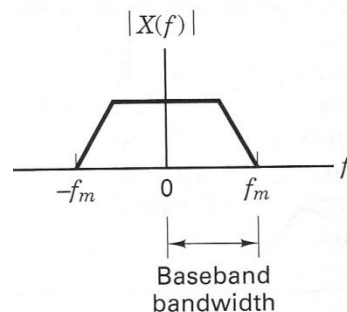
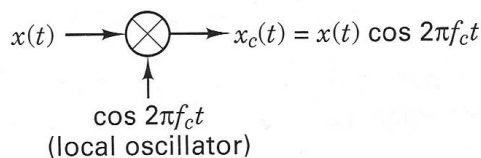
- Example, amplitude shift keying.

- Time domain:

$$x_c(t) = x(t) \cos 2\pi f_c t$$

- Frequency domain:

$$X_c(f) = \frac{1}{2} [X(f - f_c) + X(f + f_c)]$$



# BANDPASS MODULATION

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- **Types of bandpass modulation**
  - Coherent (the receivers exploits knowledge of the carrier's phase for detection)
    - Phase shift keying (PSK)
    - Frequency shift keying (FSK)
    - Amplitude shift keying (ASK)
    - Continuous phase modulation (CPM)
    - Hybrid: Quadrature amplitude modulation (QAM)
  - Noncoherent (the receivers operate without knowledge of the absolute value of the signal's phase)
    - Differential phase shift keying (DPSK)
    - Frequency shift keying (FSK)
    - Amplitude shift keying (ASK)
    - Continuous phase modulation (CPM)
    - Hybrid: Quadrature amplitude modulation (QAM)

# BANDPASS MODULATION: VECTOR REPRESENTATION

- Most bandpass modulated signals can be represented as

$$s(t) = s_I \sqrt{\frac{2}{T}} \cos 2\pi f_0 t + s_Q \sqrt{\frac{2}{T}} \sin 2\pi f_0 t \quad 0 \leq t \leq T$$

- Orthogonal representation of signal

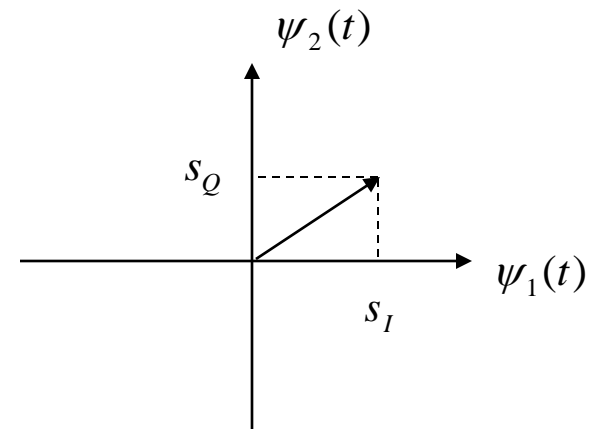
$$\psi_1(t) = \sqrt{\frac{2}{T}} \cos 2\pi f_0 t \quad \psi_2(t) = \sqrt{\frac{2}{T}} \sin 2\pi f_0 t \quad 0 \leq t \leq T$$

- $\psi_1(t)$  and  $\psi_2(t)$  are orthonormal

– Proof:

- The bandpass modulated signal can be represented as  $s(t) = s_I \psi_1(t) + s_Q \psi_2(t)$

- $s(t) \Leftrightarrow [s_I, s_Q]$  : there is a one-to-one relationship between  $s(t)$  and the two dimensional vector  $[s_I, s_Q]$





# BANDPASS MODULATION: VECTOR REPRESENTATION

- **Vector representation of bandpass modulated signal**

- Inphase component:  $s_I$
- Quadrature component:  $s_Q$
- The inphase carrier,  $\psi_1(t) = \sqrt{\frac{2}{T}} \cos \omega_0 t$ , and quadrature carrier,  $\psi_2(t) = \sqrt{\frac{2}{T}} \sin \omega_0 t$  are orthonormal.
- The bandpass modulated signal can be equivalently represented as vector

$$s(t) \Leftrightarrow \mathbf{s} = [s_I, s_Q]$$

- **Complex number representation of bandpass modulated signal**

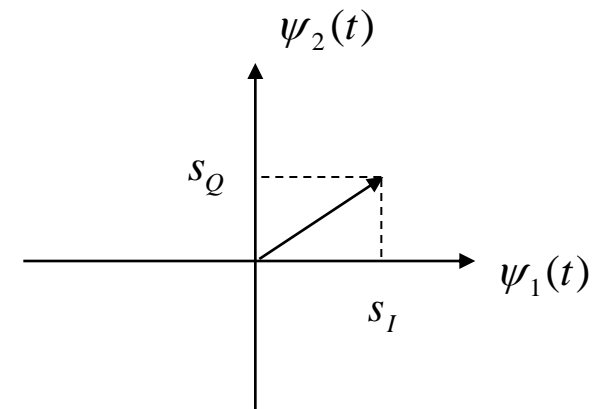
- There is a one-to-one relationship between a 2D vector and complex number

$$s(t) \Leftrightarrow \mathbf{s} = [s_I, s_Q] \Leftrightarrow s_I + js_Q$$

- The bandpass modulated signal can be equivalently represented as a complex number

$$s(t) \Leftrightarrow s_I + js_Q$$

- The complex number representation is only used for mathematical convenience.



# BANDPASS MODULATION: PSK

- Phase shift keying (PSK)

$$s_i(t) = \sqrt{\frac{2E}{T}} \cos[\omega_0 t + \phi_i(t)]$$

$$0 \leq t \leq T$$

$$i = 1, \dots, M$$

- $\omega_0 = 2\pi f_0$  : carrier frequency

- Each digital symbol is mapped to a different phase

$$\phi_i(t) = \frac{2\pi i}{M}, i = 1, \dots, M$$

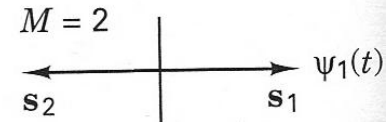
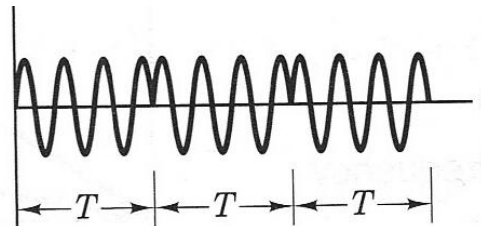
- Why  $\sqrt{\frac{2E}{T}}$  ?

- An example of BPSK

$$s_i(t) = \sqrt{\frac{2E}{T}} \cos(\omega_0 t + 2\pi i/M)$$

$$i = 1, 2, \dots, M$$

$$0 \leq t \leq T$$



# BANDPASS MODULATION: FSK

- **Frequency shift keying (FSK)**

$$s_i(t) = \sqrt{\frac{2E}{T}} \cos[\omega_i t + \phi]$$

$$0 \leq t \leq T$$

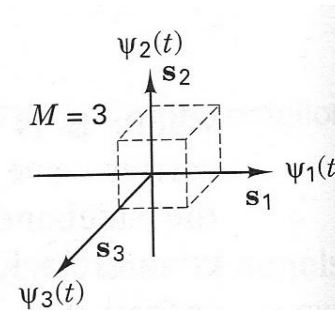
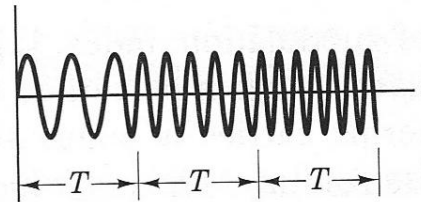
$$i = 1, \dots, M$$

- $\omega_i = 2\pi f_i$
- Each digital symbol is mapped to a different frequency.
- The set of signals,  $\{s_i(t)\}_{i=1}^M$ , could be orthogonal or non-orthogonal.
- An example of orthogonal FSK.

$$s_i(t) = \sqrt{\frac{2E}{T}} \cos(\omega_i t + \phi)$$

$$i = 1, 2, \dots, M$$

$$0 \leq t \leq T$$



$$\int_0^T s_i(t) s_j(t) dt = \frac{E}{T} \delta_{ij}$$

# BANDPASS MODULATION: ASK

- Amplitude shift keying (ASK)

$$s_i(t) = \sqrt{\frac{2E_i(t)}{T}} \cos[\omega_0 t + \phi]$$

$$0 \leq t \leq T$$

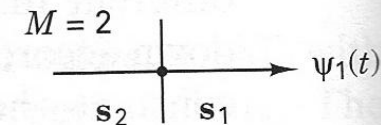
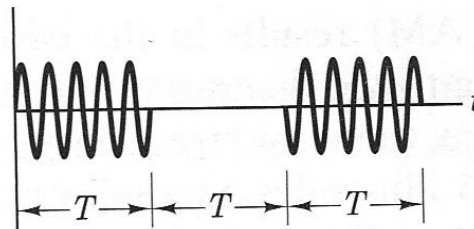
$$i = 1, \dots, M$$

- Each digital symbol is mapped to a different amplitude
- An example of BASK (on-off keying)

$$s_i(t) = \sqrt{\frac{2E_i(t)}{T}} \cos(\omega_0 t + \phi)$$

$$i = 1, 2, \dots, M$$

$$0 \leq t \leq T$$



# BANDPASS MODULATION: ASK

- Amplitude phase keying (APK)

$$s_i(t) = \sqrt{\frac{2E_i(t)}{T}} \cos[\omega_0 t + \phi]$$

$$0 \leq t \leq T$$

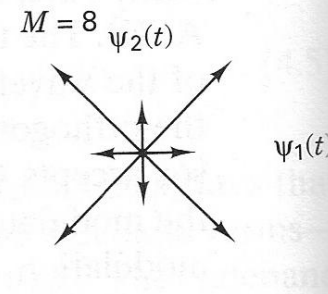
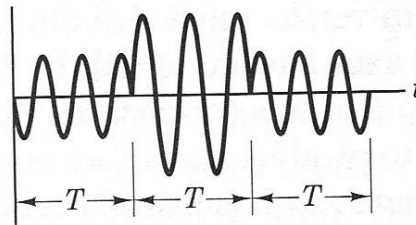
$$i = 1, \dots, M$$

- Both amplitude and phase are altered by the digital symbol.
- An example of a 8-ary APK

$$s_i(t) = \sqrt{\frac{2E_i(t)}{T}} \cos[\omega_0 t + \phi_i(t)]$$

$$i = 1, 2, \dots, M$$

$$0 \leq t \leq T$$



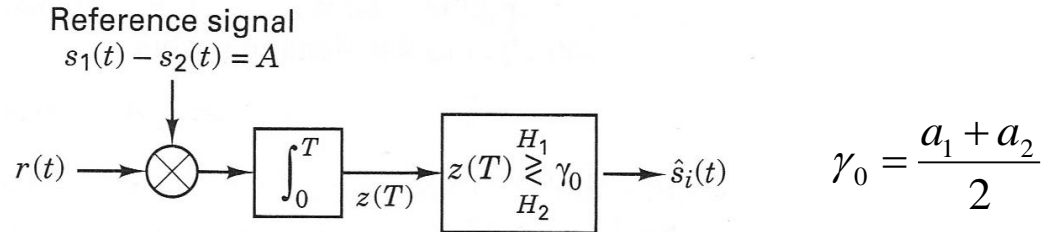
# OUTLINE

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- Introduction
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- **Coherent Detection**
- Noncoherent Detection
- Complex Envelope

# COHERENT DETECTION: BPSK

- **Recall: correlation receiver of binary baseband signal**



- the output of correlation receiver is the same as the output of matched filter and sampler

$$a_1 = \int_0^T s_1(t)[s_1(t) - s_2(t)]dt$$

$$a_2 = \int_0^T s_2(t)[s_1(t) - s_2(t)]dt$$

- Bit error probability

$$P(E) = Q\left(\sqrt{\frac{E_d}{2N_0}}\right)$$

$$E_d = \int_0^T [s_1(t) - s_2(t)]^2 dt$$

# COHERENT DETECTION: BPSK

- **Recall: correlation receiver of binary baseband signal (Cont'd)**

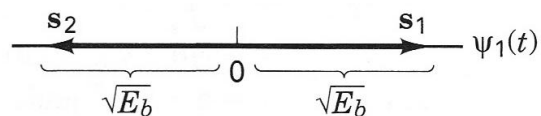
- Bit error probability with vector signal representation

$$s_1(t) = a_{11}\psi_1(t) + a_{12}\psi_2(t)$$

$$s_2(t) = a_{21}\psi_1(t) + a_{22}\psi_2(t)$$

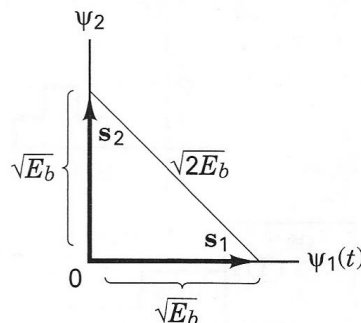
$$E_d = \int_0^T [s_1(t) - s_2(t)]^2 dt =$$

- Bipolar signal



$$P(E) = Q\left(\sqrt{\frac{2E_b}{N_0}}\right)$$

- Orthogonal signal



$$P(E) = Q\left(\sqrt{\frac{E_b}{N_0}}\right)$$

- $E_d$  is the squared distance between the two modulation points.



# COHERENT DETECTION: BPSK

- Binary Phase Shift Keying (BPSK)

$$s_1(t) = \sqrt{\frac{2E}{T}} \cos[\omega_0 t]$$

$$s_2(t) = \sqrt{\frac{2E}{T}} \cos[\omega_0 t + \pi] = -\sqrt{\frac{2E}{T}} \cos[\omega_0 t]$$

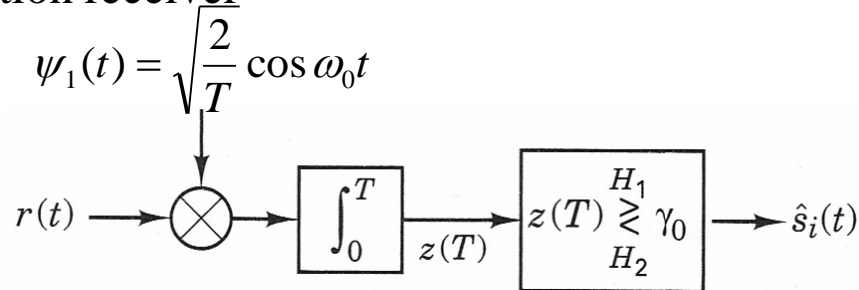
– Orthogonal representation

$$\psi_1(t) = \sqrt{\frac{2}{T}} \cos \omega_0 t \quad \psi_2(t) = \sqrt{\frac{2}{T}} \sin \omega_0 t \quad 0 \leq t \leq T$$

$$s_1(t) = \sqrt{E} \psi_1(t)$$

$$s_2(t) = -\sqrt{E} \psi_1(t)$$

– Correlation receiver



$$\gamma_0 = \frac{a_1 + a_2}{2}$$

$$a_1 = \int_0^T s_1(t) \psi_1(t) dt =$$

$$a_2 = \int_0^T s_2(t) \psi_1(t) dt =$$

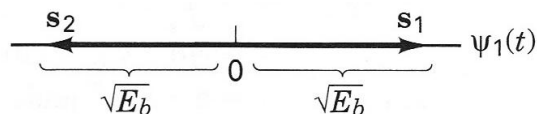
# COHERENT DETECTION: BPSK

- Bit error probability of BPSK

$$P(E) = Q\left(\sqrt{\frac{E_d}{2N_0}}\right)$$

$$E_d = \int_0^T [s_1(t) - s_2(t)]^2 dt$$

$$E_d = \int_0^T [s_1(t) - s_2(t)]^2 dt =$$



$$P(E) = Q\left(\sqrt{\frac{2E_b}{N_0}}\right)$$

# COHERENT DETECTION: BPSK

- **BPSK: revisit maximum likelihood detector**

$$r(t) = s_i(t) + n(t)$$

$$z(T) = \int_0^T r(t)\psi_1(t)dt = \int_0^T [s_i(t) + n(t)]\psi_1(t)dt = a_i + n \quad a_1 = \sqrt{E_b} \quad a_2 = -\sqrt{E_b}$$

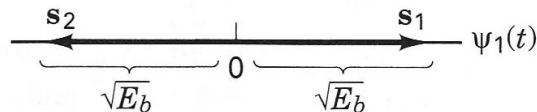
– Likelihood function

$$p(z | s_i) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left[-\frac{(z - a_i)^2}{2\sigma^2}\right]$$

– Maximum likelihood detection rule:

- If  $|z - a_1| < |z - a_2|$ , detect  $s_1(t)$
- If  $|z - a_1| > |z - a_2|$ , detect  $s_2(t)$
- Equivalently:

– In the orthogonal representation of signal, choose the signal that has the smallest Euclidean distance with the received sample



# COHERENT DETECTION: BPSK

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- **Example**

- Find the expected number of bit errors made in one day by the following continuously operating coherent BPSK receiver. The data rate is 1 kbps. The input waveforms are  $s_1(t) = A \cos \omega_0 t$  and  $s_2(t) = -A \cos \omega_0 t$  where  $A = 2\text{mV}$  and the double-sided noise PSD is  $10^{-10} \text{W} / \text{Hz}$  .

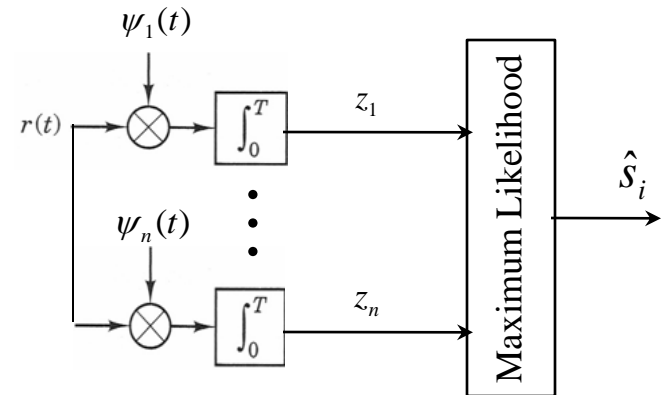
# COHERENT DETECTION: MAXIMUM LIKELIHOOD

- **Maximum Likelihood Detection**

- Signal: 
$$s_i(t) = \sum_{j=1}^n a_{ij} \psi_j(t)$$

- Rx Signal: 
$$r(t) = s_i(t) + n(t)$$

- Correlation receiver:



- Likelihood function

# COHERENT DETECTION: MAXIMUM LIKELIHOOD

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- **Maximum Likelihood Detection**

- Choose  $s_i(t)$  that minimizes

$$\sum_{k=1}^n (z_k - a_{ik})^2$$

- **Graphical Interpretation**

- M-ary modulation, there are M constellation points:

$$\mathbf{s}_m = (a_{m1}, \dots, a_{mn})$$

- After correlation detector, there is an  $n$ -dimension point:

$$\mathbf{z} = (z_1, \dots, z_n)$$

- Detection: choose  $\mathbf{s}_i$  such that the Euclidean distance is minimized

$$\|\mathbf{s}_i - \mathbf{z}\|$$

# COHERENT DETECTION

- **Maximum Likelihood detection: 2-Dimension**

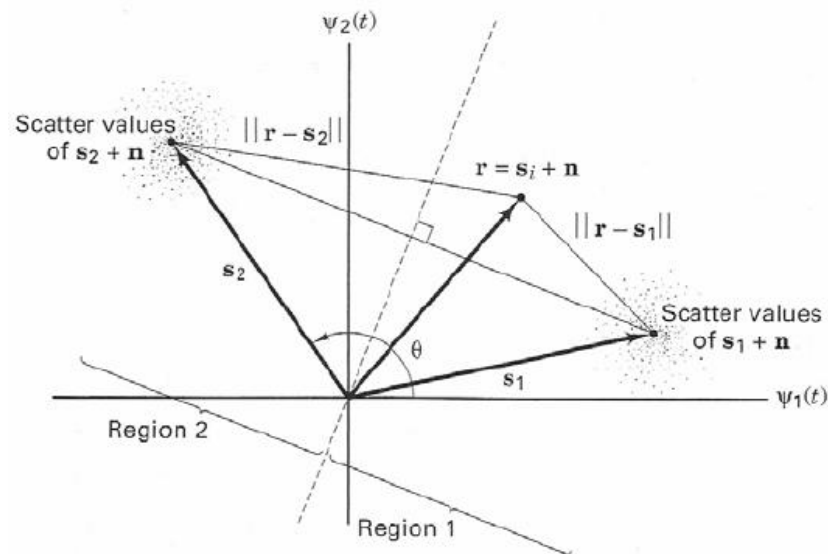
- Choose  $\mathbf{s}_i$  such that the Euclidean distance between the vector  $\mathbf{r}$  and  $\mathbf{s}_i$

$$\|\mathbf{r} - \mathbf{s}_i\| = \sqrt{(r_I - s_{iI})^2 + (r_Q - s_{iQ})^2}$$

are minimized.

- Decision region example

- Whenever the received signal  $\mathbf{r}$  is located in region 1, choose signal  $\mathbf{s}_1$
- Whenever the received signal  $\mathbf{r}$  is located in region 2, choose signal  $\mathbf{s}_2$



# COHERENT DETECTION: MPSK

- Multiple Phase Shift Keying (MPSK)

$$s_i(t) = \sqrt{\frac{2E}{T}} \cos\left[\omega_0 t - \frac{2\pi i}{M}\right] \quad 0 \leq t \leq T$$

$$i = 1, \dots, M$$

– Orthogonal representation of MPSK signals

$$s_i(t) = \sqrt{E} \cos\left(\frac{2\pi i}{M}\right) \sqrt{\frac{2}{T}} \cos(\omega_0 t) + \sqrt{E} \sin\left(\frac{2\pi i}{M}\right) \sqrt{\frac{2}{T}} \sin(\omega_0 t)$$

$$s_i(t) = \sqrt{E} \cos\left(\frac{2\pi i}{M}\right) \psi_1(t) + \sqrt{E} \sin\left(\frac{2\pi i}{M}\right) \psi_2(t)$$

– Inphase component  $s_I = \sqrt{E} \cos\left(\frac{2\pi i}{M}\right)$

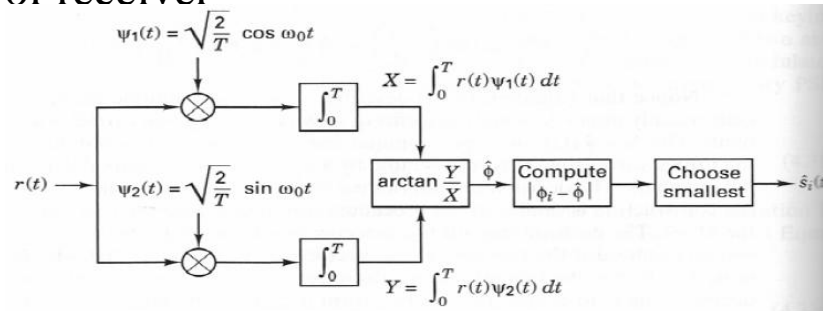
– Quadrature component  $s_Q = \sqrt{E} \sin\left(\frac{2\pi i}{M}\right)$



# COHERENT DETECTION: MPSK

- MPSK coherent detection

- Structure of receiver



- Likelihood functions

$$X = \int_0^T (s_i(t) + n(t))\psi_1(t)dt = s_{iI} + n_I$$

$$Y = \int_0^T (s_i(t) + n(t))\psi_2(t)dt = s_{iQ} + n_Q$$

$$p(X | s_i) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left[-\frac{(X - s_{iI})^2}{2\sigma^2}\right]$$

$$p(Y | s_i) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left[-\frac{(Y - s_{iQ})^2}{2\sigma^2}\right]$$

$$p(X, Y | s_i) = \frac{1}{\sigma^2 2\pi} \exp\left[-\frac{(X - s_{iI})^2 + (Y - s_{iQ})^2}{2\sigma^2}\right]$$

- Maximum likelihood detection

- Choose  $s_i(t)$  that minimizes  $(X - s_{iI})^2 + (Y - s_{iQ})^2$

# COHERENT DETECTION: MPSK

- **MPSK coherent detection (Cont'd)**

- The distance between  $\mathbf{r} = (X, Y)$  and  $\mathbf{s}_i = (s_{iI}, s_{iQ})$

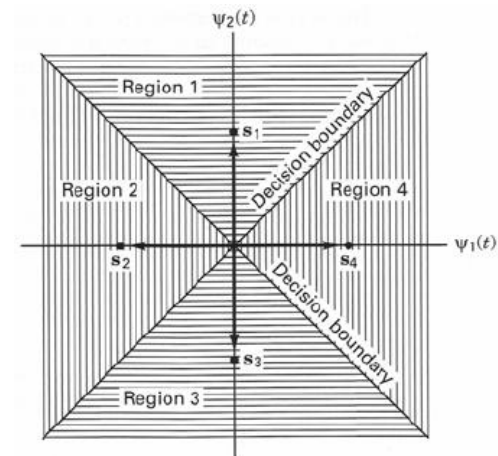
$$d_i = \sqrt{(X - s_{iI})^2 + (Y - s_{iQ})^2}$$

- Maximum likelihood decision rule

$$X = \int_0^T r(t)\psi_1(t)dt \qquad Y = \int_0^T r(t)\psi_2(t)dt$$

- Choose  $s_i(t)$  that minimizes the distance between  $\mathbf{r} = (X, Y)$  and  $\mathbf{s}_i = (s_{iI}, s_{iQ})$
- Equivalently, choose  $s_i(t)$  with phase  $\phi_i$  that is closest to the phase of the signal at the output of the correlator:

- Find  $s_i(t)$  that minimize  $|\phi - \hat{\phi}|$   $\hat{\phi} = \arctan \frac{Y}{X}$



# COHERENT DETECTION: MPSK

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- **Example:**

- For a system with 8PSK, if the received symbols are:

$$s_i(t) = \sqrt{\frac{2E}{T}} \cos\left[\omega_0 t + \frac{2\pi i}{8}\right] \quad m = 0, 1, \dots, 7$$

- Find the vector representation is the signal
- Plot the constellation diagram
- If the samples after coherent receiver are  $(X, Y) = [(1, 3), (-1, 2), (4, -1)]$ , find the detected symbols.

# COHERENT DETECTION: MFSK

- Multiple frequency shift keying (MFSK)

$$s_i(t) = \sqrt{\frac{2E}{T}} \cos \omega_i t \quad \begin{array}{l} 0 \leq t \leq T \\ i = 1, \dots, M \end{array}$$

- The value of  $\omega_i$  can be chosen such that  $\{\cos \omega_i t\}_{i=1}^M$  are mutually orthogonal  $\rightarrow$  orthogonal MFSK
  - Orthogonal MFSK is a special case of MFSK
  - We are only going to examine orthogonal MFSK
- Orthogonal MFSK

$$\psi_i(t) = \sqrt{\frac{2}{T}} \cos \omega_i t$$

$$\int_0^T \psi_i(t) \psi_j(t) dt = \delta_{ij}$$

- Example: Show the following system is orthogonal BFSK if  $f_c \gg \frac{1}{T}$

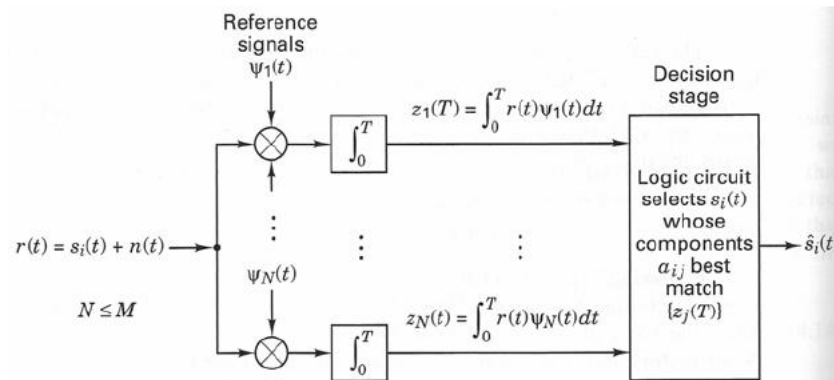
$$f_1 = f_c - \frac{1}{2T} \quad f_2 = f_c + \frac{1}{2T}$$

# COHERENT DETECTION: MFSK

- Coherent receiver structure of MFSK

- Structure of a receiver

$$s_i(t) = s_{i1}\psi_1(t) + s_{i2}\psi_2(t) + \dots + s_{iM}\psi_M(t)$$



- Output of the correlation detector for MFSK

$$r_{im} = \int_0^T (s_i(t) + n(t))\psi_m(t)dt = s_{im} + n_m$$

- Output of the correlation detector of MFSK is coordinate in orthogonal signal representation

$$\mathbf{s}_i = (s_{i1}, s_{i2}, \dots, s_{iM})$$

$$\mathbf{r} = (r_{i1}, r_{i2}, \dots, r_{iM})$$

# COHERENT DETECTION: MFSK

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- **Maximum Likelihood detection**

- Choose  $s_i(t)$  that minimizes the Euclidean distance between

$$\mathbf{s}_i = (s_{i1}, s_{i2}, \dots, s_{iM})$$

$$\mathbf{r} = (r_{i1}, r_{i2}, \dots, r_{iM})$$

- **Bit error probability of BFSK**

# COHERENT DETECTION

- **Vector representation of bandpass communication system**

- Recall vector representation of bandpass modulated signal

$$\psi_1(t) = \sqrt{\frac{2}{T}} \cos 2\pi f_0 t \quad \psi_2(t) = \sqrt{\frac{2}{T}} \sin 2\pi f_0 t \quad 0 \leq t \leq T$$

$$s_i(t) = s_{iI}\psi_1(t) + s_{iQ}\psi_2(t) \quad n(t) = n_I\psi_1(t) + n_Q\psi_2(t)$$

$$r(t) = s_i(t) + n(t) = (s_I + n_I)\psi_1(t) + (s_Q + n_Q)\psi_2(t) \quad r(t) = r_I\psi_1(t) + r_Q\psi_2(t)$$

$$r_I = s_{iI} + n_I$$

$$r_Q = s_{iQ} + n_Q$$

- The bandpass communication system is equivalently represented as the summation of signal vector and noise vector

$$\mathbf{r} = \mathbf{s}_i + \mathbf{n}$$

$$\mathbf{r} = [r_I, r_Q]$$

$$\mathbf{s}_i = [s_{iI}, s_{iQ}]$$

$$\mathbf{n} = [n_I, n_Q]$$

# COHERENT DETECTION

- **Maximum likelihood detection**

- For AWGN with two-sided PSD  $\frac{N_0}{2}$ 
  - The noise variance per dimension is  $\sigma^2 = \frac{N_0}{2}$
- Likelihood functions

$$p(r_I | s_{iI}) = \frac{1}{\sigma^2 \sqrt{2\pi}} \exp\left[-\frac{(r_I - s_{iI})^2}{2\sigma^2}\right]$$

$$p(r_Q | s_{iQ}) = \frac{1}{\sigma^2 \sqrt{2\pi}} \exp\left[-\frac{(r_Q - s_{iQ})^2}{2\sigma^2}\right]$$

- $n_I$  and  $n_Q$  are independent

$$p(r_I, r_Q | s_{iI}, s_{iQ}) = \frac{1}{2\pi\sigma^4} \exp\left[-\frac{(r_I - s_{iI})^2 + (r_Q - s_{iQ})^2}{2\sigma^2}\right]$$

- Maximize  $p(r_I, r_Q | s_{iI}, s_{iQ}) \rightarrow$  Minimize  $(r_I - s_{iI})^2 + (r_Q - s_{iQ})^2$
- Minimize the Euclidean distance between the vector  $\mathbf{r}$  and  $\mathbf{s}_i$

$$\|\mathbf{r} - \mathbf{s}_i\| = \sqrt{(r_I - s_{iI})^2 + (r_Q - s_{iQ})^2}$$



# OUTLINE

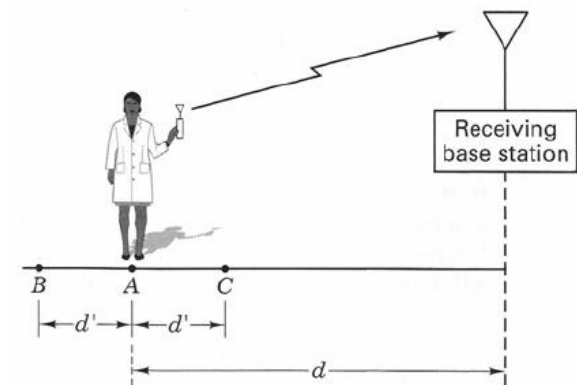
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- Introduction
- Bandpass Modulation
- Coherent Detection
- **Noncoherent Detection**
- Complex Envelope

# NONCOHERENT DETECTION

- **Why noncoherent detection?**

- Coherent detection requires the exact knowledge of the phase of the received signal
- Example: BPSK
  - The distance between Tx and Rx is  $d$
  - At Tx, the signal is  $s(t) = \sqrt{\frac{2E_b}{T}} \cos(2\pi f_0 t)$
  - At Rx, the signal is  $r(t) = \sqrt{\frac{2E_b}{T}} \cos[2\pi f_0 (t + T_d)] = \sqrt{\frac{2E_b}{T}} \cos[2\pi f_0 t + \theta(t)]$
  - $T_d = d/c$  : the amount of time the signal travels from Tx to Rx
  - $\theta(t) = 2\pi f_0 T_d$ : the phase of the signal at the receiver
  - In order to perform coherent detection, the Rx needs to know  $\theta(t)$ 
    - $\theta(t)$  can be estimated through a circuitry called phase locked loop (PLL)



# NONCOHERENT DETECTION

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- **Why noncoherent detection? (Cont'd)**

- What if the Rx doesn't have the knowledge of  $\theta(t)$  ?
- Example:
  - Assume a system operates a 1GHz. If the distance between Tx and Rx is 24.075m, find out the phase of the Rx signal.

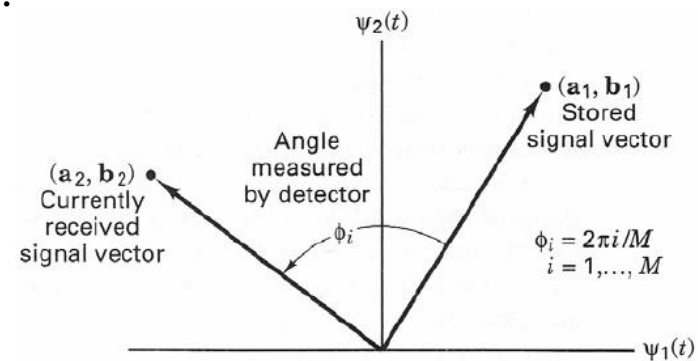
- **Noncoherent detection**

- The Rx doesn't require the knowledge of the absolute phase of the Rx signal.

# NONCOHERENT DETECTION: DPSK

- **Differential PSK**

- The information is carried by the **phase difference** between the current symbol and the previous symbol
  - Recall: for coherent PSK, the information is carried by the absolute phase of one symbol.
- Example:
  - The  $k$ th Rx symbol is  $r(t) = \sqrt{\frac{2E}{T}} \cos[2\pi f_0 t + \theta_k + \alpha]$
  - The  $(k+1)$ th Rx symbol is  $r(t) = \sqrt{\frac{2E}{T}} \cos[2\pi f_0 t + \theta_{k+1} + \alpha]$
  - The phase difference between the two consecutive symbols
 
$$(\theta_{k+1} + \alpha) - (\theta_k + \alpha) = \phi_i$$
    - The information is carried by the phase difference  $\phi_i = \frac{2\pi i}{M}$
- The Rx doesn't need the knowledge of the absolute phase  $\alpha$ . The information is carried by the phase difference.



# NONCOHERENT DETECTION: DPSK

- **Binary DPSK**

- The essence of differential detection is that **the data is carried in the phase difference between two consecutive symbols**
- Tx: differential encoding; Rx: differential decoding.
- Binary differential encoding

$$c(k) = \overline{c(k-1)} \oplus m(k)$$

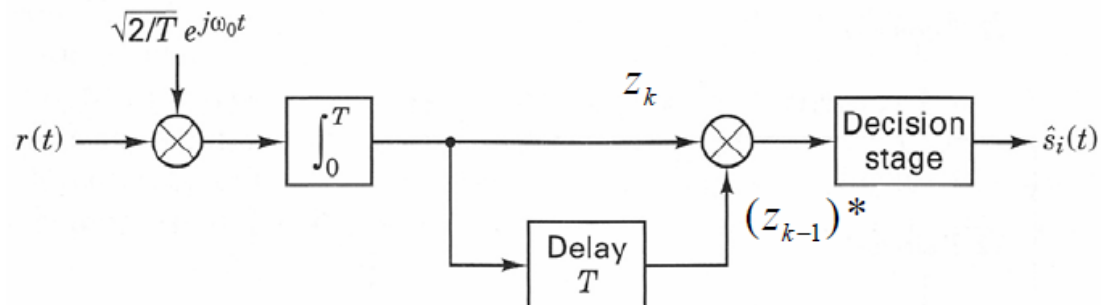
- $m(k)$ : information
- $c(k)$ : differentially encoded bit
- $\oplus$  : modulo-2 addition
- $\overline{\phantom{x}}$  : complement
- The information,  $m(k)$ , is carried by the difference between  $c(k)$  and  $c(k-1)$

Sample index, $k$	0	1	2	3	4	5	6	7	8	9	10
Information message, $m(k)$		1	1	0	1	0	1	1	0	0	1
Differentially encoded message (first bit arbitrary), $c(k)$	1	1	1	0	0	1	1	1	0	1	1
Corresponding phase shift, $\theta(k)$	$\pi$	$\pi$	$\pi$	0	0	$\pi$	$\pi$	$\pi$	0	$\pi$	$\pi$

# NONCOHERENT DETECTION: DPSK

- **Binary DPSK**

- Binary differential decoding



- After the correlation detector, the  $k$ -th sample is

$$z_{kI} = \int_0^T r(t)\psi_1(t)dt = c_{kI} + n_{kI} \quad z_{kQ} = \int_0^T r(t)\psi_2(t)dt = c_{kQ} + n_{kQ}$$

- Performing detection by compare the phase of  $(z_{kI}, z_{kQ})$  and  $(z_{(k-1)I}, z_{(k-1)Q})$

# NONCOHERENT DETECTION: DPSK

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- **DPSK: pros and cons**

- Pro:

- Doesn't require the absolute value of the signal phase → simpler receiver

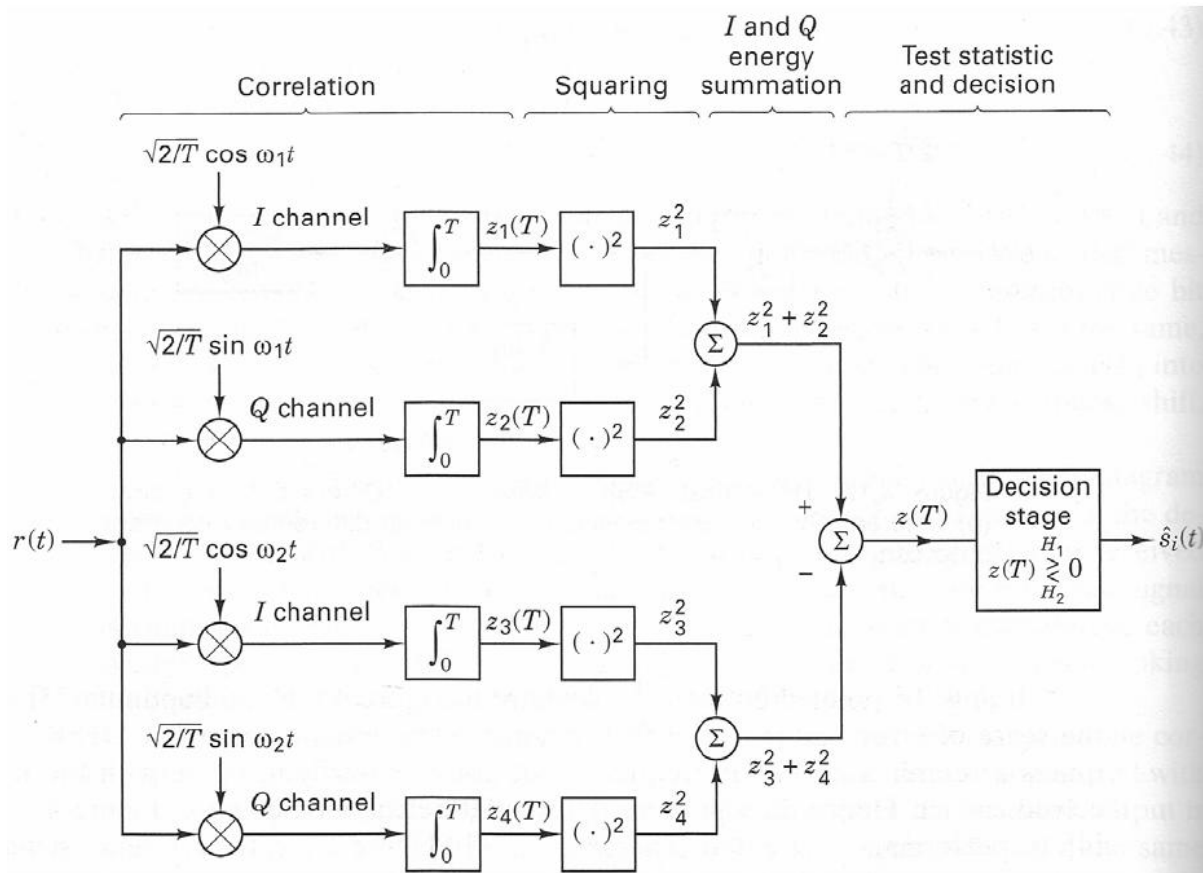
- Con:

- Two noisy signal are compared to detect the signal → there are twice as much noise as in coherent detection → the performance is worse compared to coherent detection

- Trade-off between complexity and performance

# NONCOHERENT DETECTION: FSK

- Non-coherent detection of FSK



$$\psi_1(t) = \sqrt{\frac{2}{T}} \cos \omega_1 t \quad \psi_2(t) = \sqrt{\frac{2}{T}} \sin \omega_1 t \quad \psi_3(t) = \sqrt{\frac{2}{T}} \cos \omega_2 t \quad \psi_4(t) = \sqrt{\frac{2}{T}} \sin \omega_2 t \quad \text{are mutually orthonormal}$$



# NONCOHERENT DETECTION: FSK

---

- **Non-coherent detection of FSK**

- If  $s_1(t) = \sqrt{\frac{2E}{T}} \cos(\omega_1 t + \theta)$  has been transmitted
  - What are the values at the output of the non-coherent detector of FSK?

# NONCOHERENT DETECTION: FSK

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- **Non-coherent detection of FSK**
  - The minimum tone space for non-coherent orthogonal FSK

The minimum tone space for non-coherent orthogonal FSK is  $f_1 - f_2 = \frac{1}{T}$

# NONCOHERENT DETECTION: FSK

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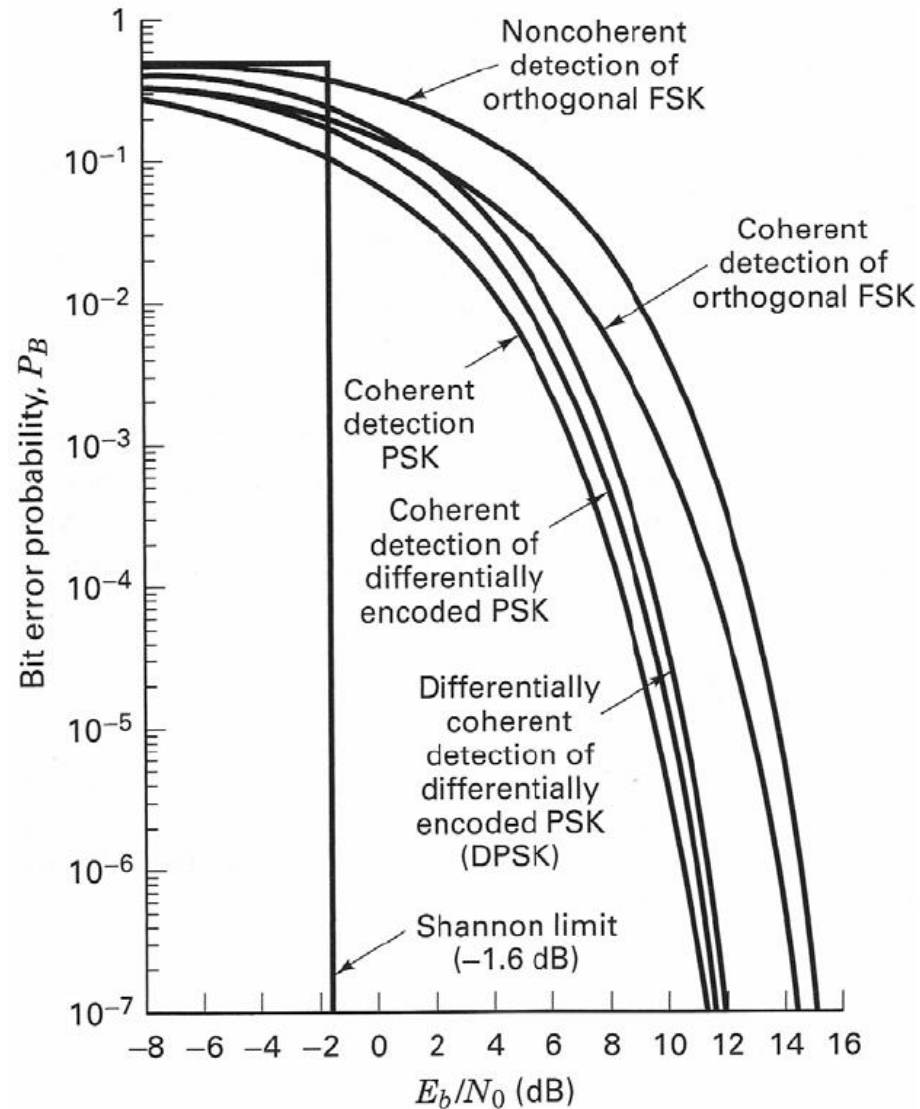
- Minimum Tone Spacing for Coherent Orthogonal FSK

The minimum tone space for coherent orthogonal FSK is

$$f_1 - f_2 = \frac{1}{2T}$$

# NONCOHERENT DETECTION

- Coherent detection v.s. non-coherent detection



# OUTLINE

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- Introduction
- Bandpass Modulation
- Coherent Detection
- Noncoherent Detection
- **Complex Envelope**

# COMPLEX ENVELOPE

- **Complex representation of bandpass modulated signal**

$$s(t) = s_I(t) \sqrt{\frac{2}{T}} \cos 2\pi f_0 t - s_Q(t) \sqrt{\frac{2}{T}} \sin 2\pi f_0 t$$

$$\tilde{s}(t) = [s_I(t) + js_Q(t)] \sqrt{\frac{2}{T}} e^{j2\pi f_0 t}$$

- There is a one to one relationship between  $s(t)$  and  $\tilde{s}(t)$

$$s(t) = \text{Re}[\tilde{s}(t)]$$

- **Complex envelope**

$$g(t) = [s_I(t) + js_Q(t)]$$

- The complex baseband signal  $g(t)$  is called complex envelope
  - The envelope of the bandpass signal.
- The complex envelope is the same as the vector representation of the signal up to a scaling factor

$$s(t) \Leftrightarrow [s_I(t), s_Q(t)] \Leftrightarrow [s_I(t) + js_Q(t)]$$

# COMPLEX ENVELOPE

---

- **Complex envelope**

$$g(t) = x(t) + jy(t)$$

- Polar representation

$$g(t) = |g(t)| e^{j\theta(t)}$$

- Amplitude

$$|g(t)| = \sqrt{x^2(t) + y^2(t)}$$

- Phase

$$\theta(t) = \tan^{-1} \frac{y(t)}{x(t)}$$

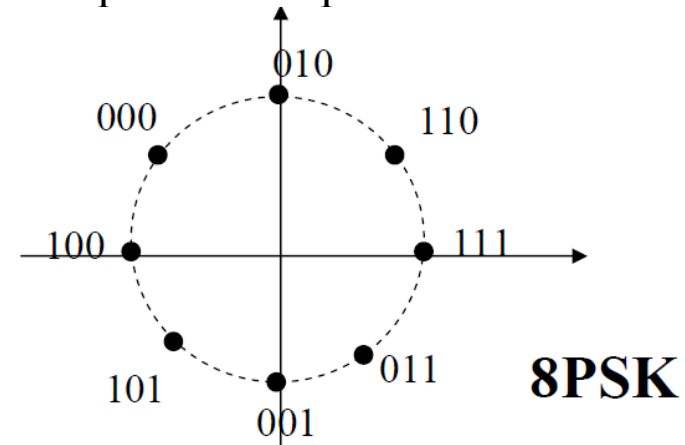
# COMPLEX ENVELOPE

- **Bandpass modulation can be divided into two steps (Constellation)**

- 1. Baseband modulation:

- Transfer information ('1's and '0's) into complex envelope
- Example: 8PSK

1 0 0 0 1 0 1 1 1 0 0 1



- 2. Frequency upconversion

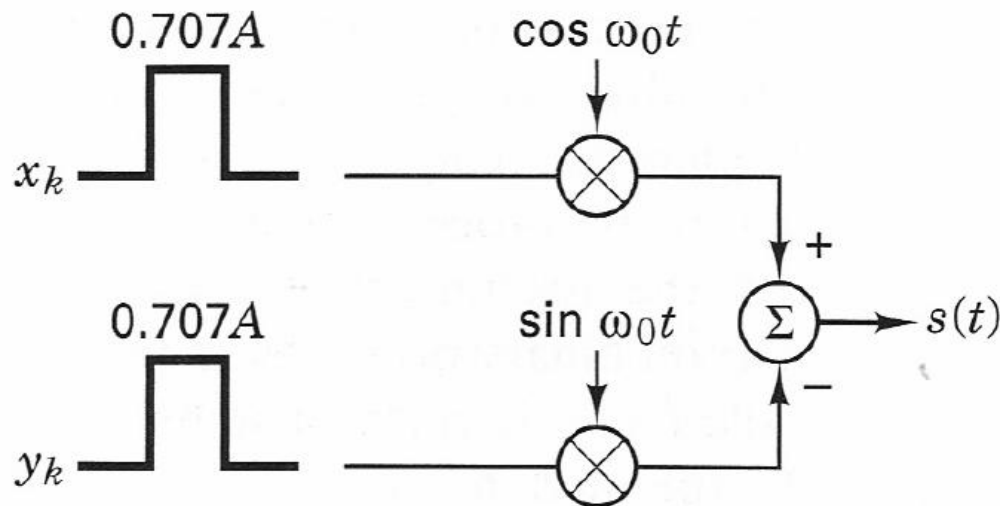
- Multiply the complex envelope with  $e^{j2\pi f_0 t}$

$$s(t) = \text{Re}\{g(t)e^{j2\pi f_0 t}\}$$



# COMPLEX ENVELOPE

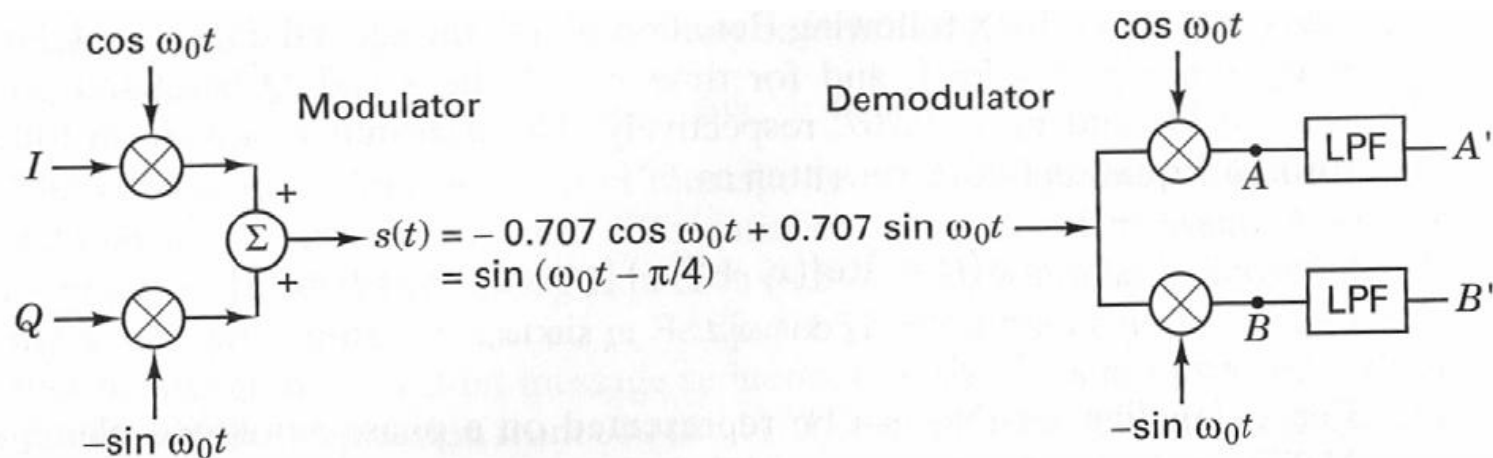
- Quadrature implementation of a modulator



- Baseband modulation:
  - Mapping '0's and '1's to the values of  $x(t)$  and  $y(t)$ .
- Frequency upconversion:
  - Upconverting the frequency of the baseband signal through quadrature modulation.

# COMPLEX ENVELOPE

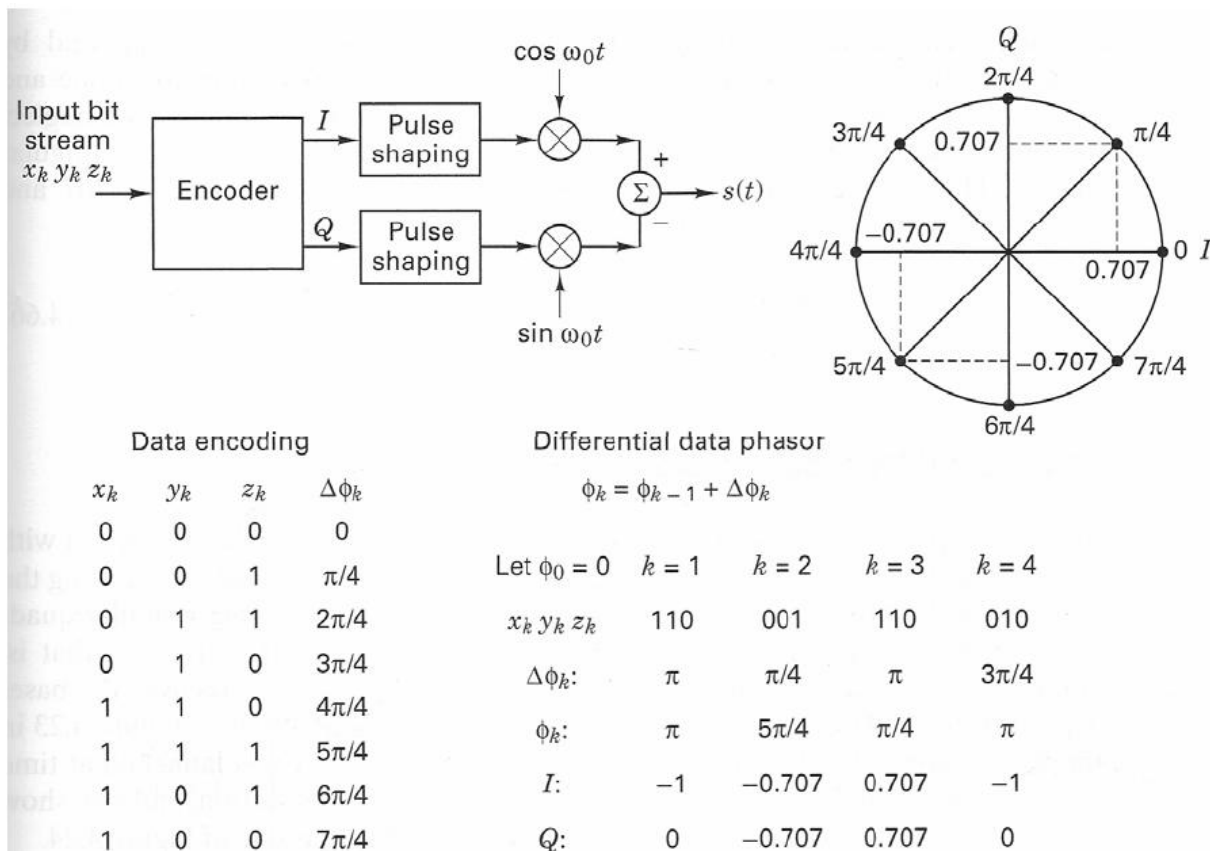
- Quadrature implementation of a demodulator



- Frequency downconversion:
  - Downconverting the frequency of the bandpass signal.
- Baseband demodulation:
  - Mapping the baseband signal to '0's and '1's

# COMPLEX ENVELOPE: D8PSK

- **D8PSK (Differential 8PSK)**
  - Baseband modulation

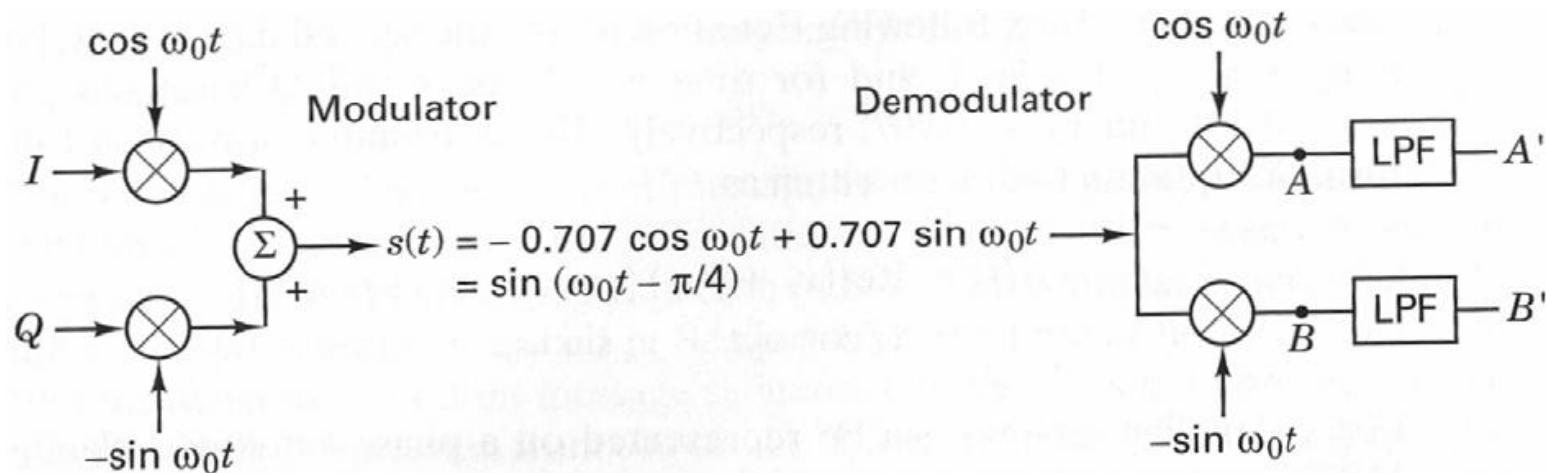


- Frequency upconversion

# COMPLEX ENVELOPE: D8PSK

- **D8PSK Demodulation**
  - Frequency downconversion

- Baseband demodulation



# OUTLINE

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- Introduction
- Bandpass Modulation
- Coherent Detection
- Noncoherent Detection
- Complex Envelope
- **Error Probability**

# ERROR PROBABILITY: BINARY MODULATION

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- Comparison of error performance of binary system

- BER of BPSK

$$P_B = Q\left(\sqrt{\frac{2E_b}{N_0}}\right)$$

- BER of coherently detected, differentially encoded binary PSK

$$P_B = 2Q\left(\sqrt{\frac{2E_b}{N_0}}\right)\left[1 - Q\left(\sqrt{\frac{2E_b}{N_0}}\right)\right]$$

- BER of differentially detected, differentially encoded binary PSK (DPSK)

$$P_B = \frac{1}{2} \exp\left(-\frac{E_b}{N_0}\right)$$

# ERROR PROBABILITY: BINARY MODULATION

---

- **Comparison of error performance of binary system**

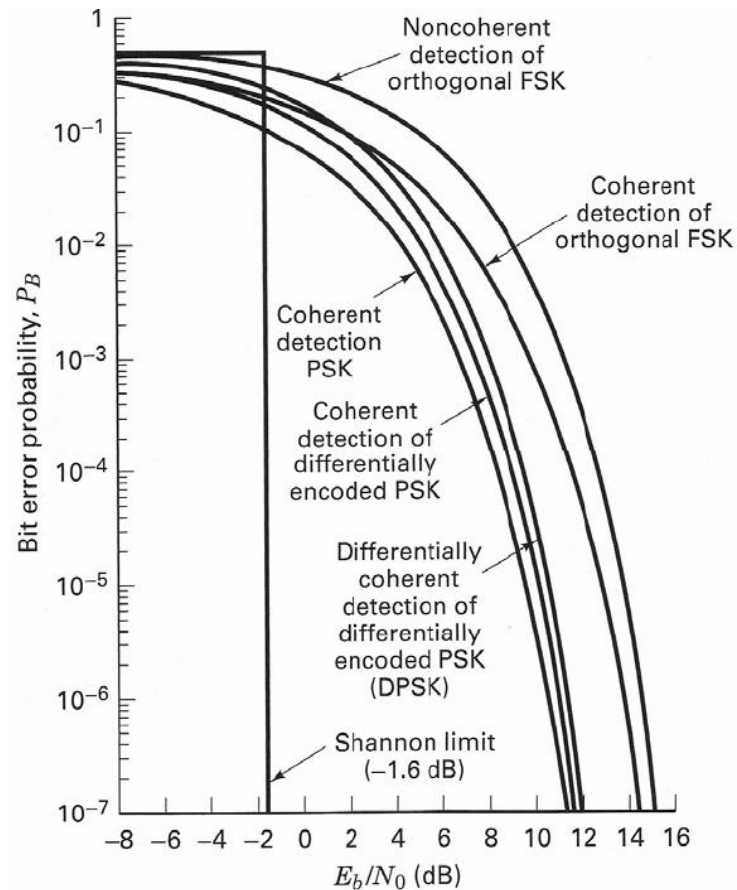
- BER for coherently detected binary orthogonal FSK

$$P_B = Q\left(\sqrt{\frac{E_b}{N_0}}\right)$$

- BER for non-coherently detected binary orthogonal FSK

$$P_B = \frac{1}{2} \exp\left(-\frac{E_b}{2N_0}\right)$$

# ERROR PROBABILITY: BINARY MODULATION



Coherent detection PSK > coherent detection of differentially encoded PSK > Differential detection of differentially encoded PSK (DPSK) > Coherent detection of orthogonal FSK > Noncoherent detection of orthogonal FSK.



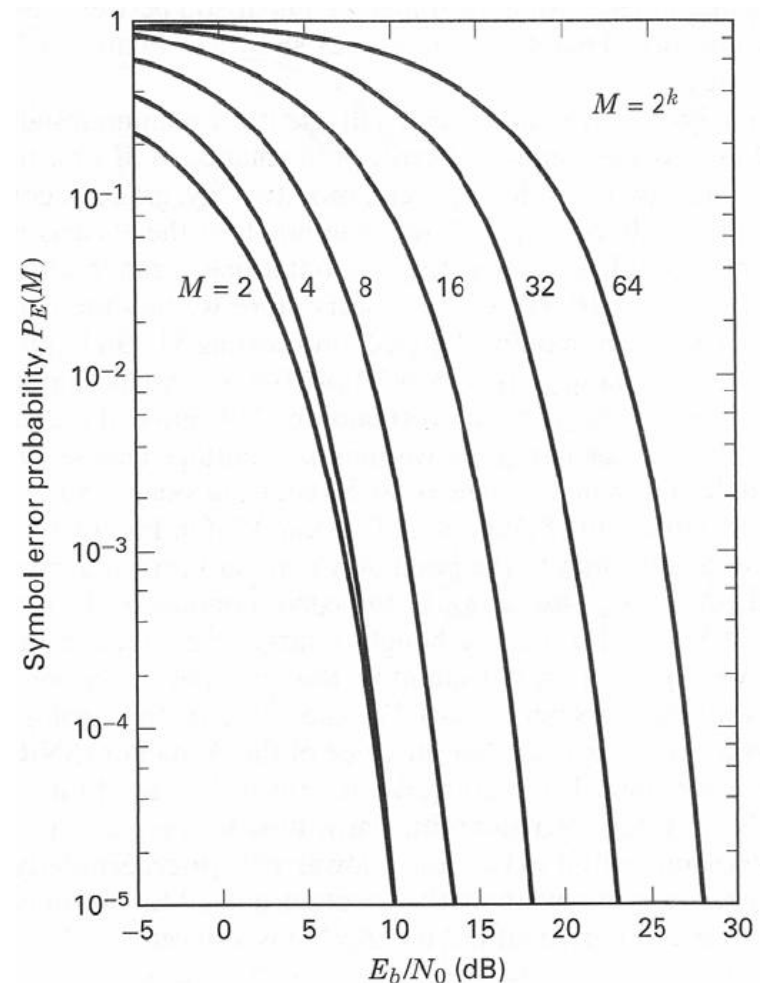
# ERROR PROBABILITY: MPSK

- **Symbol error rate for MPSK (Fig. 4.35)**

- Symbol error rate (SER): # of error symbols/# of symbols transmitted

$$P_E(M) \approx 2Q\left(\sqrt{\frac{2E_s}{N_0}} \sin\left(\frac{\pi}{M}\right)\right)$$

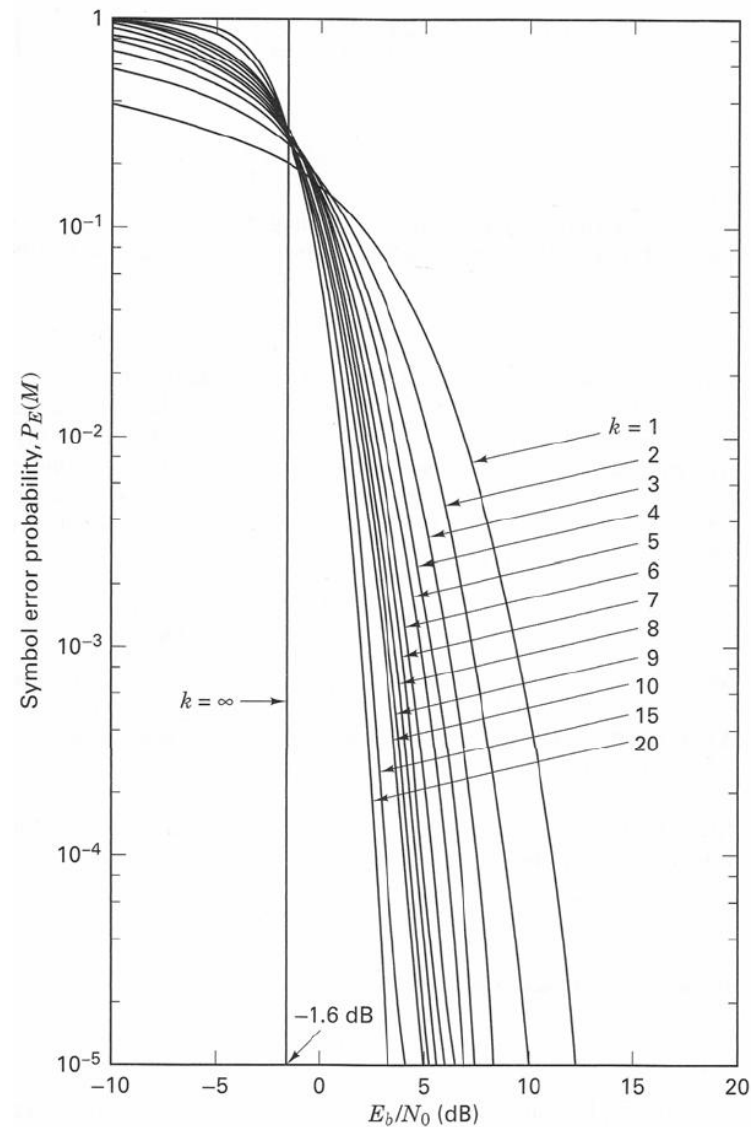
- $E_s = E_b \log_2 M$  : symbol energy



# ERROR PROBABILITY: MFSK

- SER for MFSK

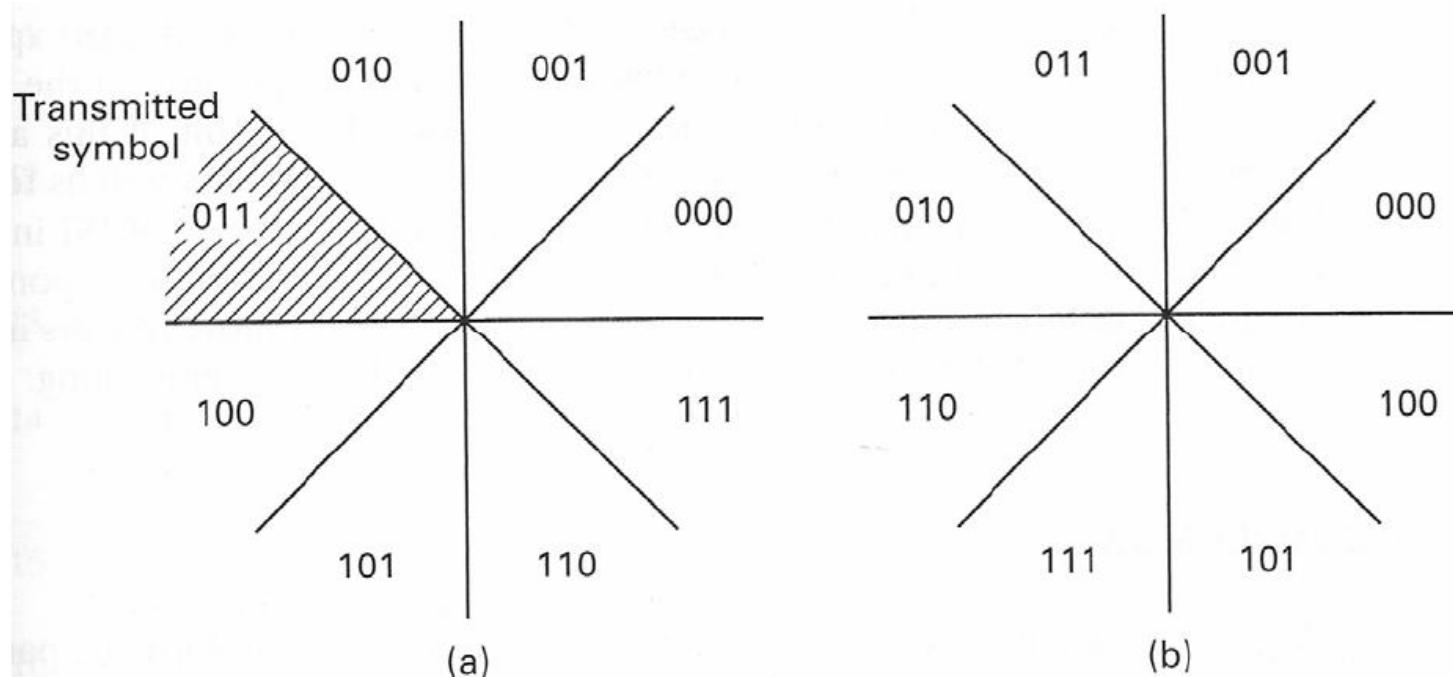
$$P_E(M) \leq (M-1)Q\left(\sqrt{\frac{E_s}{N_0}}\right)$$



# ERROR PROBABILITY: BER V.S. SER

- **Relationship between BER and SER for MPSK (Fig. 4.39)**
  - Gray encoding: two adjacent symbols differ in 1 bit
    - At high SNR, Most of the errors are the confusion between adjacent symbols
    - At high SNR, 1 symbol error approximately corresponds to 1 bit error

$$P_B \approx \frac{1}{\log_2 M} P_E$$



# ERROR PROBABILITY: BER V.S. SER

---

- Relationship between BER and SER for orthogonal signals

$$P_B = \frac{M/2}{M-1} P_E$$

- The relationship is exact